Paper Medical Record and Electronic Health Record

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Traditional Paper-based Medical Record

• Purpose: to record observations and could be reminded of patients' details.

• Input sources:
  • History
  • Notes
  • Lab
  • Radiology
  • Reports
  • Coding
  • Other
Traditional Paper-based Medical Record

Outputs:
Paper based medical records dis(advantages)

- Find the record (lost, being used elsewhere)
- Find data within the record (poorly organized, missing)
- Read data (legibility)
- Update data (manual)
- Record fragmentation
- Moving records
- Redundancy (re-enter data in multiple forms)
- Statistics and Research (can not search across patients)
- Passive (no automated decision support)
Main Purpose of Documentation

- Remembering what you did and why; form basis for historical record
- Conveying information to Medical Team members; Support communication among providers
- Coding and Billing
- Legal issues
Main Purpose of Documentation

• Anticipate future health problems
• Record standard preventive measures
• Identify deviations from expected trends example; growth chart
• Support clinical research
• Remembering what was done and why
  – Legibility
  – Ease of search
  – Granularity of information
  – Miss filings
  – Lost charts
• Conveying information to team
  – Shared record within the organization and across sites
  – Letter templates, data extraction, automated test result
• Justifying billing
  – Automated note audits
  – Easier human note audits
  – Software suggested billing codes
  – Automatic inclusion of diagnoses addressed
- Legal Defense
  - More complete records
  - Full audit – what was changed when
  - Reminders – preventive care
  - Reminders – diagnosis related
• Data for research
  – Aggregate data by diagnosis, test, finding, etc.
  – To further the applications/research of health sciences in ways that improve the well-being of patients, including conducting research and public health activities.
Advanced functionality of EMR Documentation

• Alerts
• Clinical Decision Support
• Best documentation practices
• Multi-media reporting
• Multiple output formats
• Data mining
History

• Prior to 1960s, documentation was mainly a list of diagnoses and treatments.

• Larry Weed introduced the problem oriented medical record in 1960s. SOAP format was born.
Assessment of a stable patient

• Chief complaint
• History of the present illness
• Past medical history
• Social history
• Family history
• Review of systems
• Physical examination
• Investigations – lab, x-ray, other
• Assessment plan
Development Paralleled Technology

• 1970s – room filling computers with very limited capacity
  • Limited records systems - Billing preceded medical info only - Terminals rare

• 1980s – PC revolution
  - Large scale community based system concept
  - Master Patient Index- Billing systems boomed
  - Required cooperation and joint funding
Development Paralleled Technology

- 1990s – Graphical interfaces
  - PCs commonplace
  - Local networks, internet
  - Practice based electronic medical records
  - Institutional home grown systems
  - Integration with billing systems
Development Parallels Technology

- 2000s – modern electronic health records
  - PCs in most personal offices
  - Memory and storage limits go away
  - Systems more robust – security, logging of activity, faster and more complex networks.
  - Integration of disparate systems
  - Young physicians have grown up with PCs
Disadvantages

- Learning curve
- Slower-time
- Security/privacy concerns
- Cost, initial cost, running and maintenance
- Upgrades and depreciation
*EMR Components*

- Results reporting
- Data repository
- Decision support
- Clinical messaging and communications; i.e. e-mail
- Documentation
- Order entry
Role of medical records

- Transcription
- Coding
- Quality check
- Security administration
- Training
- Research
Block Diagram showing multiple systems feeding into patient database. The Database Interface or Interface Engine may perform intelligent filtering, translating and alert functions (page 396, Shortliffe)
Medical records...

Medical records serve a variety of functions for organizations not involved directly in care:

- **Insurers** (government and private) to justify payment for medical services rendered, and to detect fraud.
- **Quality reviews**, administrative reviews, and utilization studies to manage the **business** aspects of health care.
- Used for societal purposes, such as, social service and welfare system management, law enforcement, screening and licensing and determining life insurance eligibility.
- **Medical research**, public health management
- **Education** and medical training
EMR

• A general term describing computer-based patient record systems. It is sometimes extended to include other functions like order entry for medications and tests, amongst other common functions.

• EMR (Electronic Medical Record) – the set of databases (lab, pharmacy, radiology, clinical notes, etc.) that contains the health information for patients within a given institution or organization.
Computer-Based Patient Record (CPR)

• Comprehensive lifetime record
• Attributes identified by the Institute of Medicine (IOM) provide the basis for today’s understanding of the EHR
Electronic Health/Medical Records (EHR)

• Definition: a repository of electronically maintained information about an individual's health status and health care, stored such that it can serve the multiple legitimate uses and users of the record.

• Other definition: Longitudinal electronic record of patient health information generated by one or more encounters in any care delivery setting

• Electronic Health Record System: includes the active tools that are used to manage the information.

• Interoperability standards to exchange info outside a single healthcare delivery system.

• Supports other care-related activities directly or indirectly—evidence-based decision support, quality management, and outcomes reporting
# Electronic Medical Record vs. Paper-Based Record

<table>
<thead>
<tr>
<th>Function</th>
<th>Paper record</th>
<th>EMR</th>
</tr>
</thead>
<tbody>
<tr>
<td>Availability and accessibility</td>
<td>One location</td>
<td>Multiple</td>
</tr>
<tr>
<td>Display</td>
<td>One format</td>
<td>Several format</td>
</tr>
<tr>
<td>Security</td>
<td>Low</td>
<td>High</td>
</tr>
<tr>
<td>Data</td>
<td>Difficult to extract</td>
<td>Should be easy to extract</td>
</tr>
<tr>
<td>Legibility</td>
<td>Low</td>
<td>More</td>
</tr>
<tr>
<td>Duplication of records</td>
<td>Yes</td>
<td>No – can all be linked</td>
</tr>
<tr>
<td>Duplication of tests</td>
<td>Yes</td>
<td>Rare</td>
</tr>
<tr>
<td>Patient interaction</td>
<td>None</td>
<td>Full – if desired</td>
</tr>
</tbody>
</table>
Functional Components of an Electronic Medical Record System

An EHR is not simply an electronic version of the paper record.

1. Integrated view of patient data
2. Clinician order entry
3. Clinical decision support
4. Access to knowledge resources
5. Integrated communication and reporting support
Integrated View of Patient Data

- Available at anytime anywhere
- Clinical Data has complexity and diversity
- Clinical Data requires different format and terminology
- Requires standards like HL7 to integrate the clinical data
- Local terminologies needs to be translated into standardized terminologies

(Source: Courtesy of WorldVistA (worldvista.org) and ISI Group (www.isigp.com), 2012)
Integrated View of Patient Data:

- Interface Engine helps to become mediator for EHR to be connected to other vendor systems (Tracking system, Imaging system, Medication dispenser etc)
- Various views: Flowsheet, Chronological views, Summary Views
Clinician Order Entry

Electronic order entry can improve health care at several levels (computerized physician order entry (CPOE)):

- Reduce errors and costs.
- Deliver decision support at the point where clinical decisions are being made.
Integrated Communication and Reporting Support

• Communication tools could be an integrated part of the EHR system.
• Patient handoffs.
• Health Information Exchanges (HIE)
General Benefits of EMRs:

- Improved data integrity:
  - readable, better organized, accurate, complete

- Improved productivity:
  - access data whenever, wherever for timely decision

- Increased quality of care:
  - tailored views, “dash-board”

- Increased satisfaction for caregivers:
  - easy access to client data and related services
Nursing Benefits

- Decreased redundant data collection
- Allowed data comparison from prior visits
- Ongoing access, update record at bedside
- Improved documentation and quality of care
- Supported timely decision
Healthcare Provider Benefits

• Better/faster/simultaneous data access
• Improved documentation, reporting
• Prompted to ensure administration of treatments and medications
• Supported automation of critical pathways / workflows
• Improved efficiency: eligibility, early warning of status changes
Healthcare Enterprise Benefits

• Better record security
• Fewer lost records
• Instant notice of eligibility/procedure authorization
• Decreased need and cost for record storage, x-ray film, filing ...
• Decreased length of stay due to waiting
• Faster turnaround for accounts
• Increased compliance with regulatory requirements
Patient Benefits

• Decreased wait time for treatment
• Increased access/control over health information
• Increased use of best practices/decision support
• Increased ability to ask informed questions
• Quicker turnaround time for ordered treatments
Patient Benefits

• Greater clarity to discharge instruction
• Increased responsibility for own care
• Alerts and reminders for appointments and scheduled tests
• Increased satisfaction and understanding of choices

• Issue: When a patient could access his/her own health information like in other online services? (Pros, Cons)
Driving Forces for EMR

• Compliance with regulatory and reimbursement issues
• Meaning Use to improve the quality of care
• Cost and space
* Data Ownership *

- Paper medical records are the property of the creators with full responsibilities: storage, accuracy
- Many providers share / update the same electronic data in many sites, who is the responsible owner in EHR?
Caregiver Resistance

• EHRs are perceived as lacking essential features and awkward/inconvenience to use
• Some people have been unable /unwilling to use computers!
• Professionals don’t want to change their “familiar”, “traditional” practices
• Rather pay penalties than bear EHR implementing cost
• May even refuse patients
• Need “incentives”
Enabling Factors:

2. Duration of use and retention of data.
3. Degree of structure of data.
4. Ubiquity of access.
Fundamental Issues:

Data Validation:
- Range checks (out of range value)
- Pattern checks
- Computed checks (values have the correct mathematical relationship)
- Consistency checks
- Delta checks (large and unlikely differences between the values)
- Spelling checks
Fundamental Issues:

- **Data display:** Once stored in the computer, data can be presented in numerous formats for different purposes without further entry work.
Data Display

Summaries and Snapshots

Dynamic Search
- Search tools help the physician to locate relevant data.
- The EHR can then display these data as specialized presentation formats (e.g., flowsheets or graphics).
Fundamental issues:

Query and Surveillance Systems
  ◦ Find records of patients that satisfy pre-specified criteria and export selected data.
  ◦ Clinical care
  ◦ Clinical research
  ◦ Quality reporting
  ◦ Retrospective studies
  ◦ Administration (e.g. resource consumption)
EHR Adoption in Saudi Arabia

- Eastern Province study (Bah, Alharthi, El Mhalli, 2011).
- Level of EHR functions (Mahalli, 2015).
Barriers of EHR in Saudi Arabia:

1. Human Barriers:
   ◦ Lack of
     ◦ awareness of the importance and benefits of EHR,
     ◦ knowledge and experience of using EHRs,
     ◦ experience of computer applications .
   ◦ Negative beliefs and impressions about EHRs and about their ability to use EHRs

2. Financial Barriers:
   ◦ High initial cost of EHRs implementation.
   ◦ High operation and maintenance costs of EHRs.
   ◦ Lack of feasibility studies that show the benefits versus costs of implementing and using EHRs.
Barriers of EHR in Saudi Arabia:

3. Legal and regulatory barriers:
   ◦ Lack of policies that govern EHRs on both hospital and national levels.
   ◦ Using EHRs may threaten confidentiality of health information.

4. Organizational barriers:
   ◦ Workflow needs redesign to match with EHRs.
   ◦ Hospital management doesn’t have the necessary experience to choose & implement the best EHRs.
   ◦ Hospital management doesn’t provide the necessary training for the staff on using EHRs.
Barriers of EHR in Saudi Arabia:

5. Technical barriers:
   ◦ Computers and networks have a lot of maintenance problems.
   ◦ EHRs are not satisfying different users’ needs.
   ◦ The main difficulty with EHRs is data entry and data retrieval.

6. Professional barriers:
   ◦ Lack of motivation to learn and train on using EHRs.
   ◦ EHRs slows down work/decreases productivity.
Future Trends of EHR:

- Patient access will increase,
- Cloud technology for EHR,
- Movement toward a nationalized database,
- Mobile accessibility.
Suggested Readings:


Best wishes

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